FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. 53168-500301D6

SERIAL NO. Not yet known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 C.F.R. § 1.98(b))

Shrenik Deliwala FILING DATE

APPLICANT

February **20**, 2002

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EXAMINER			PATENT NUMBER						ISSUE				FILING DATE IF
INITIAL			P.	ATE	IN TV	JMBI	ER		DATE	PATENTEE	CLASS	SUBCLASS	APPROPRIATE
mc	A	6	1	7	7	6	8	5	01/01	Teraguchi et al.	257	20	
mc	В	6	1	8	8	8	1	8	02/01	Han et al.	385	24	
mc	С	6	1	6	6	8	4	6	12/00	Maloney	359	247	
mc	D	6	0	7	5	9	0	8	06/00	Paniccia et al.	385	14	
mc	Е	6	1	2	2	4	1	9	09/00	Kurokawa	385	31	
mc	F	5	8	6	4	6	4	2	01/99	Chun et al.	385	14	
mc	G	5	8	7	2	3	6	0	02/99	Paniccia et al.	250	341.4	
mc	Н	5	8	3	5	6	4	6	11/98	Yashimura et al.	385	7	
mc	I	5	6	9	6	8	6	2	12/97	Hauer et al.	385	88	
mc	J	4	6	7	7	7	8	3	07/87	Cratsa	43	15	
mc	K	5	6	3	8	4	6	9	06/97	Feldman et al.	385	14	

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

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mc	L	Michael C. Parker et al., Applications of Active Arrayed-Waveguide Gratings in Dynamic WDM Networking and Routing, Journal of Lighwave Technology, Vol. 18, No. 12, December 2000.
mc	M	Bardia Pezeshki et al., Vertical Cavity Devices as Wavelength Selective Waveguides, Journal of Lightwave Technology, Vol. 12, No. 10, October 1994.
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT

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Application Number	10/079,668							
Filing Date	02/20/2002							
First Named Inventor	Deliwala							
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Complete if Known Substitute for form 1449A/PTO 10/019.668 **Application Number INFORMATION DISCLOSURE** - 20 - 2002 Filing Date STATEMENT BY APPLICANT **First Named Inventor** Art Unit (use as many sheets as necessary) Examiner Name Attorney Docket Number of Sheet

		U.S. PATE	NT DOCUMENTS	
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3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

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5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

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